

# Activation barrier scaling and switching path distribution in micromechanical parametric oscillators

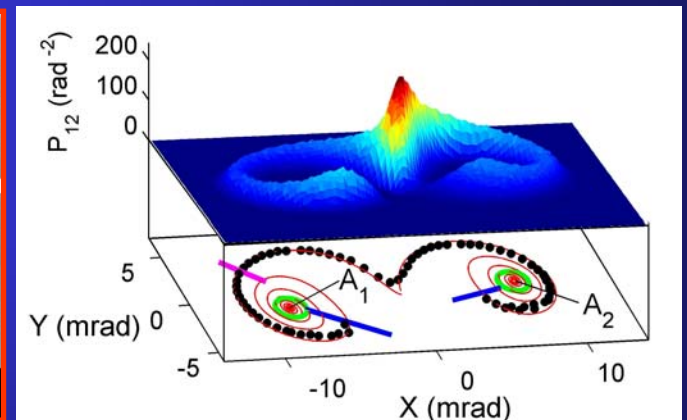
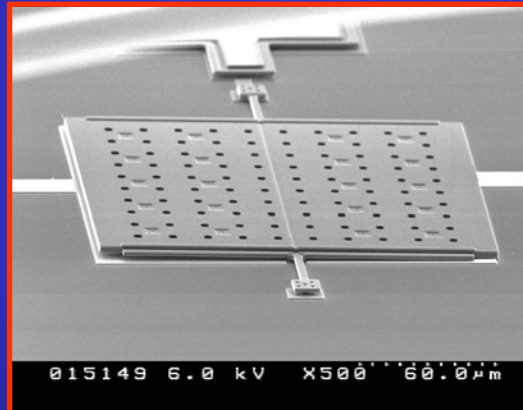
Ho Bun Chan

Corey Stambaugh



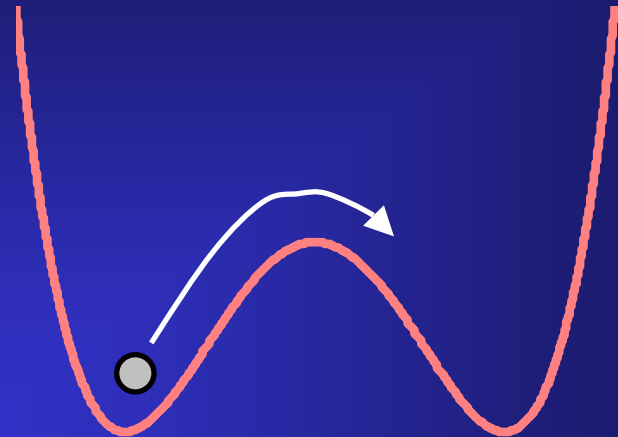
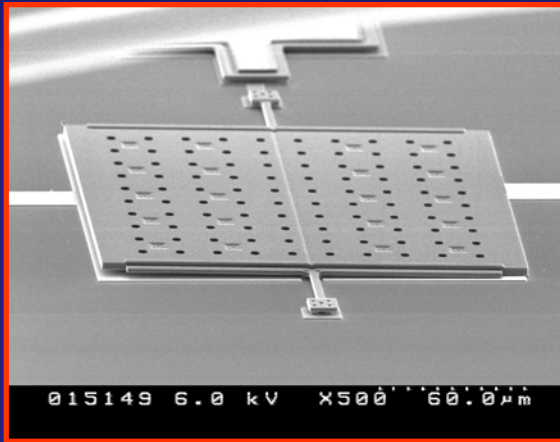
Mark Dykman

Michigan State University



June 2<sup>nd</sup>, 2008. UPoN, Lyon, France.

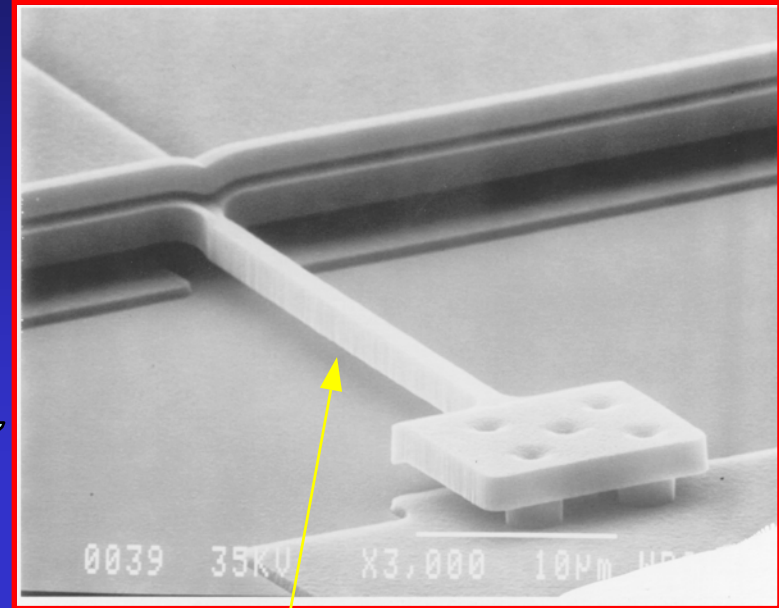
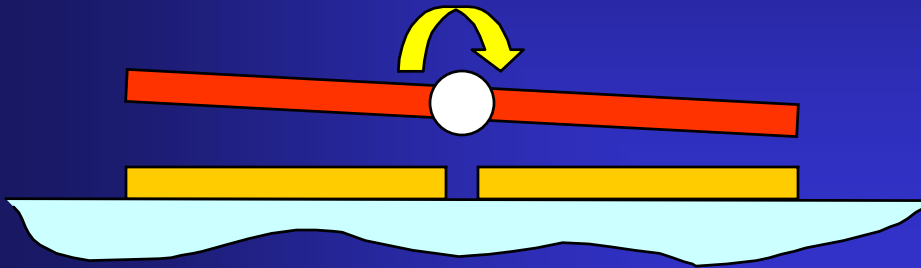
# Outline



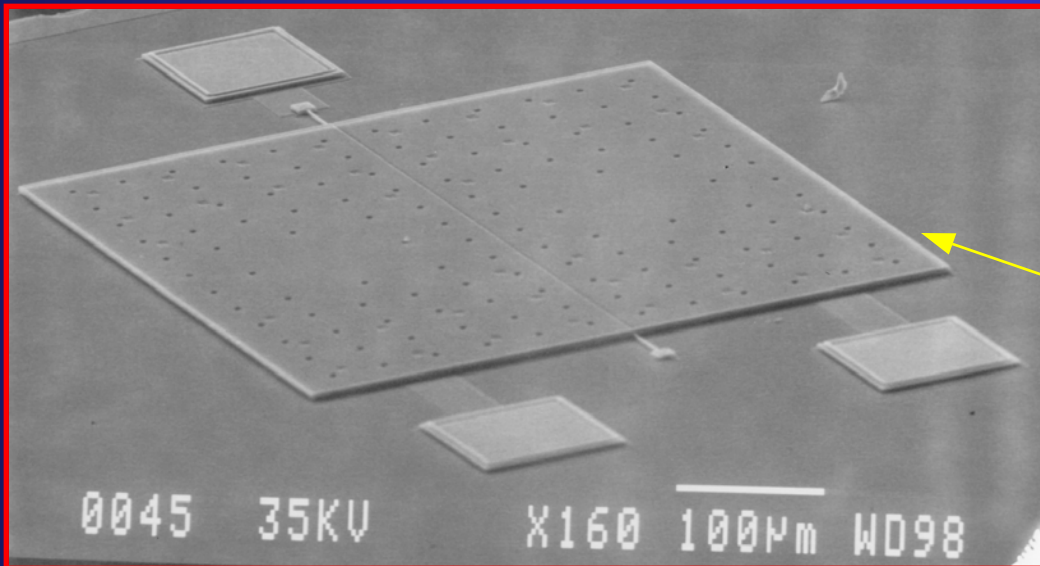
what are the generic features of fluctuations in systems far from equilibrium?

- Nonlinear micromechanical parametric oscillator: multistability and bifurcations.
- Fluctuation induced switching between dynamic states.
- Switching path distribution for multivariable system:
  - Direct measurement of most probable switching path
  - Demonstration of the lack of time reversal symmetry.
- Universal scaling of activation barrier near the bifurcation point.

# micromechanical torsional oscillator

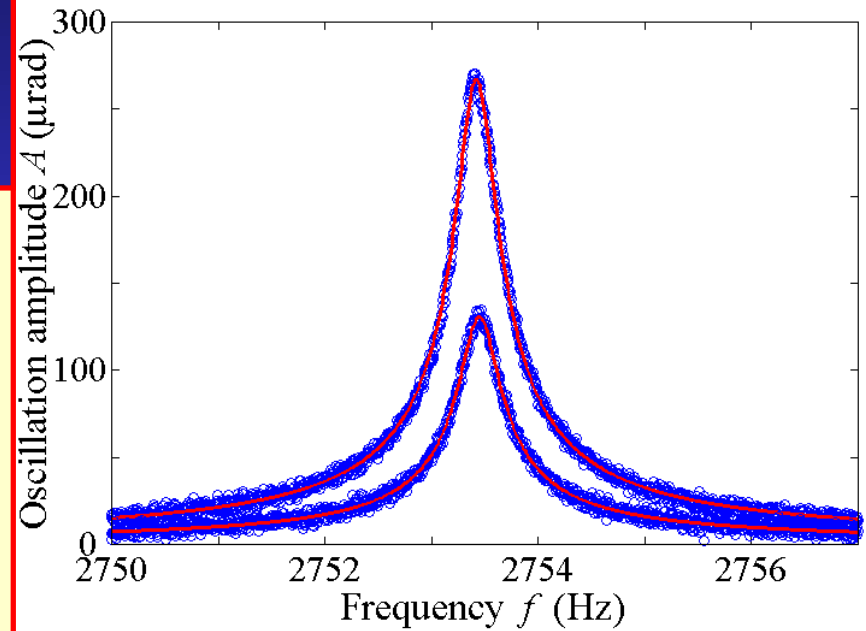
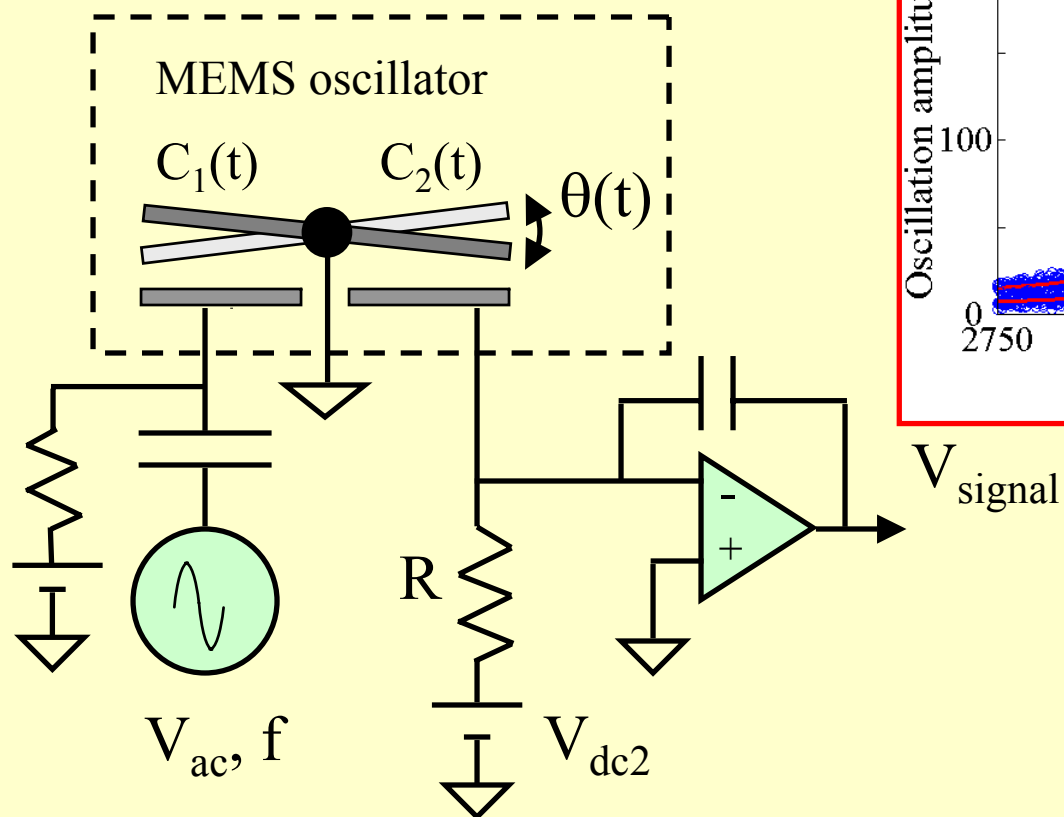


Torsional rod  
cross section:  $1.5 \times 2 \mu\text{m}^2$



poly-Si plate:  
 $500 \mu\text{m} \times 500 \mu\text{m} \times 3.5 \mu\text{m}$

# Simple Harmonic Oscillations



At small excitation,  
response depends linearly  
on excitation voltage

$$Q = 7150$$

# Parametric resonance

$$\ddot{\theta} + 2\gamma\dot{\theta} + [\omega_0^2 + \frac{k_e}{I} \cos(\omega t)]\theta = -\alpha\theta^2 - \beta\theta^3$$

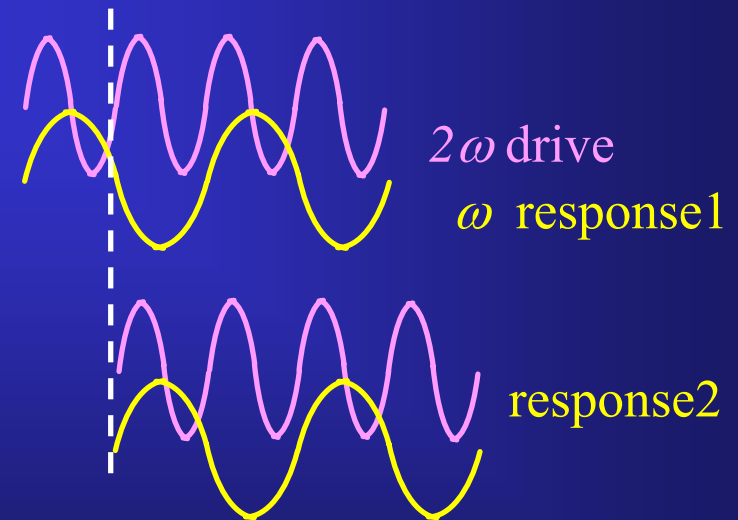
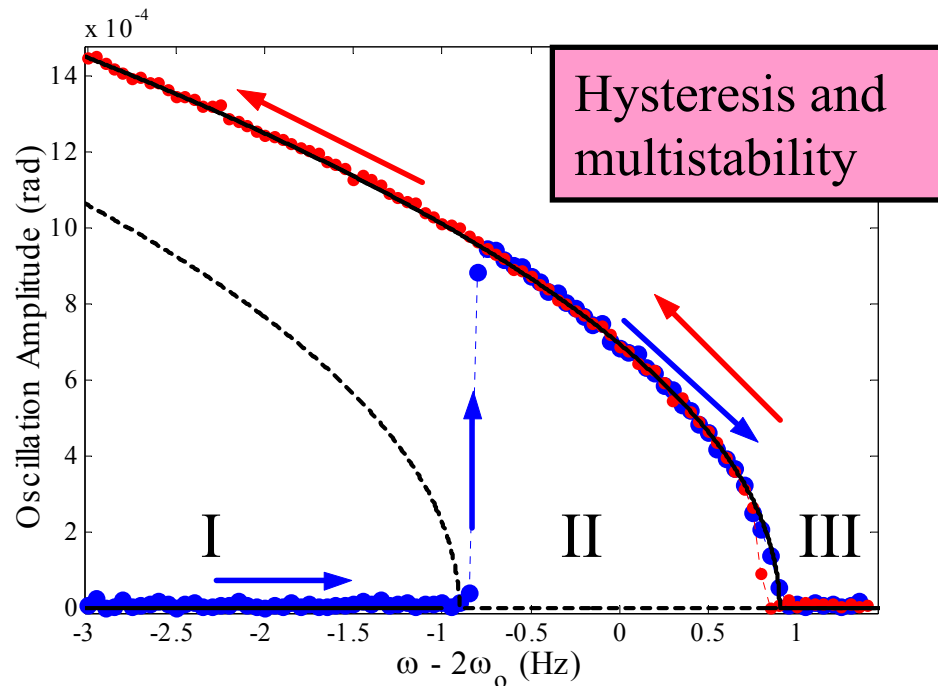
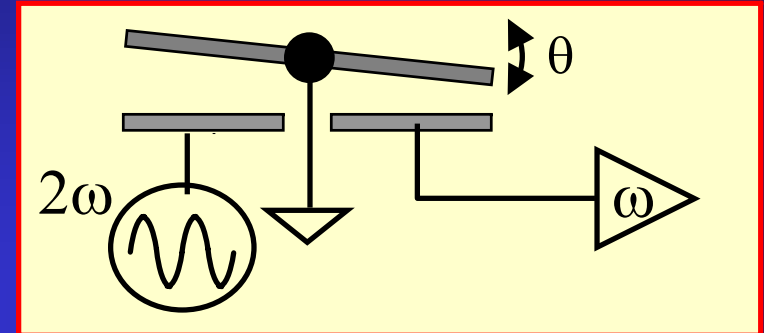
$$\omega \sim 2\omega_0$$



Electrostatic torque

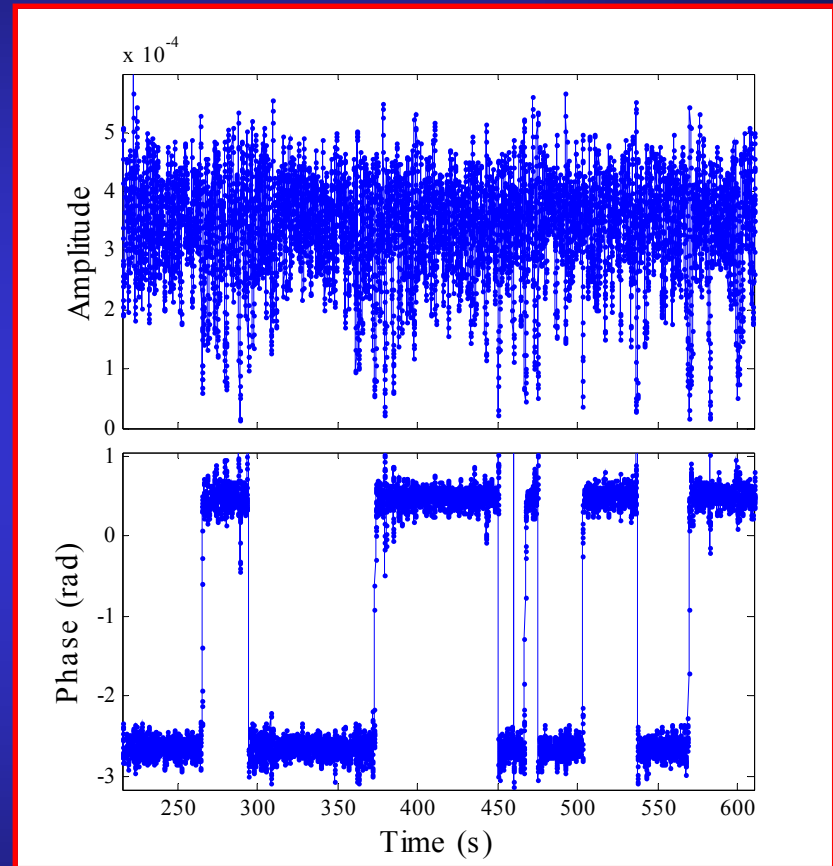
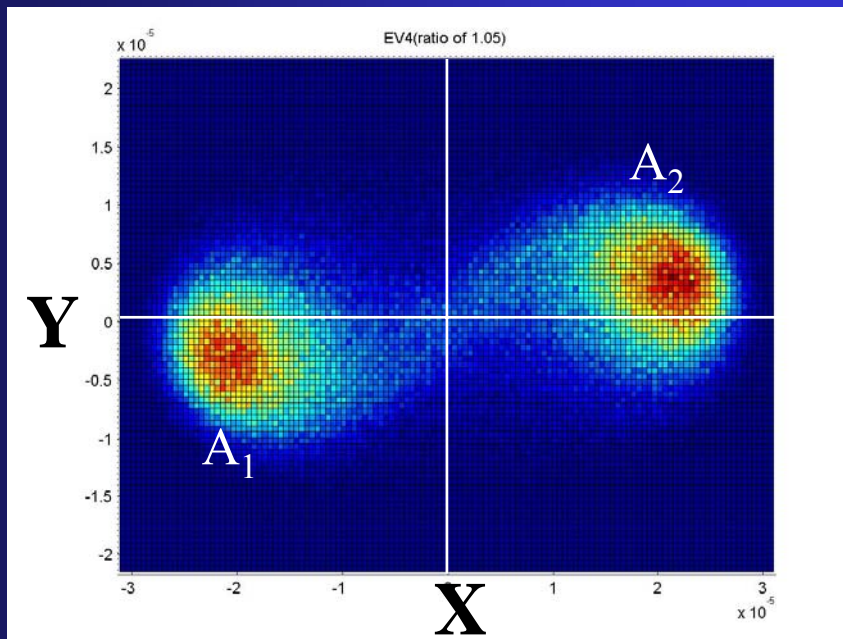
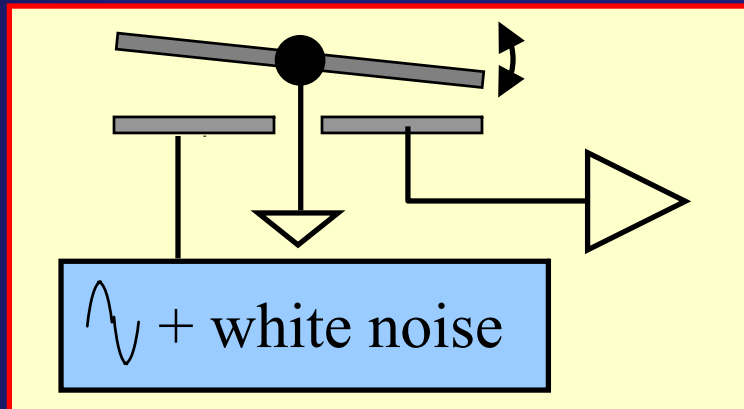
$$\tau_E = \frac{1}{2} \frac{dC}{d\theta} V^2$$

$$\tau_E(\theta) = \tau_E(0) + \frac{\partial \tau_E}{\partial \theta} \theta + \frac{1}{2} \frac{\partial^2 \tau_E}{\partial \theta^2} \theta^2 + \frac{1}{6} \frac{\partial^3 \tau_E}{\partial \theta^3} \theta^3$$



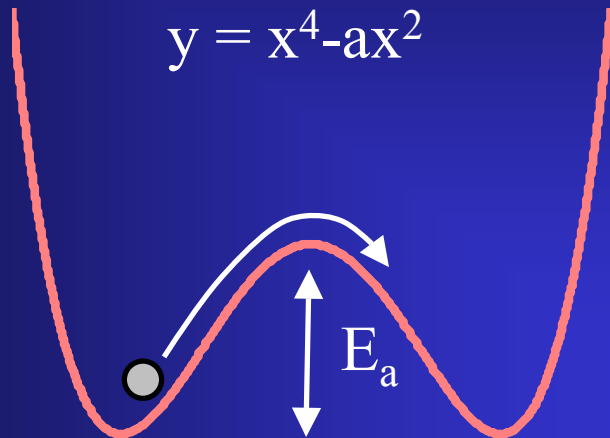
Two stable responses at  $\omega$

# FLuctuation induced phase slips in a parametrically driven oscillator



# Fluctuation induced switching

System in thermal equilibrium

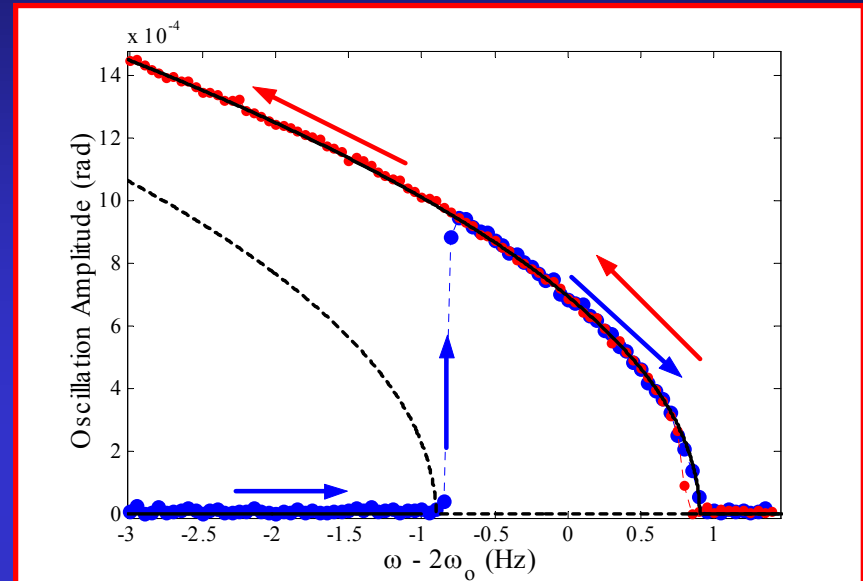


Kramers

$$\Gamma \propto \exp\left(-\frac{E_a}{T}\right)$$

$$E_a \propto a^2$$

Nonequilibrium system



$$\Gamma \propto \exp\left(-\frac{R}{I_n}\right)$$

$I_n$  = noise intensity

$$R \propto \Delta v^\alpha$$

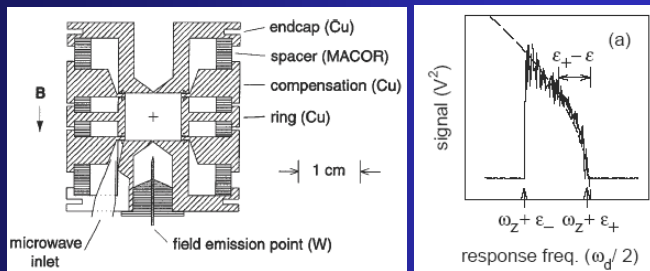
- Dykman & Krivoglaz '79
- Lehmann, Reimann & Hanggi '00
- Maier & Stein '01
- Dykman, Schwartz & Shapiro '05

# Noise activated escape in periodically driven systems

$$\Gamma \propto \exp\left(-R/I_n\right)$$

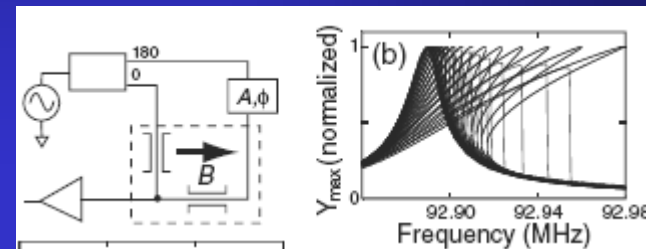
## Penning traps

- Lapidus, Enzer and Gabrielse '99



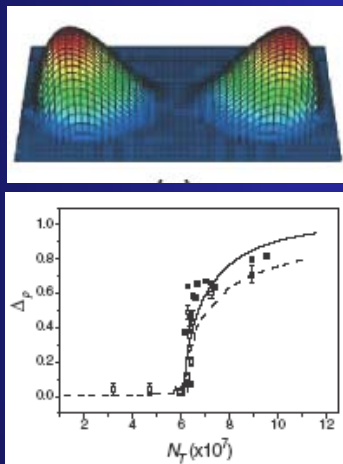
nanomechanical beams < 100MHz, Q ~ 10,000

Aldridge and Cleland '05



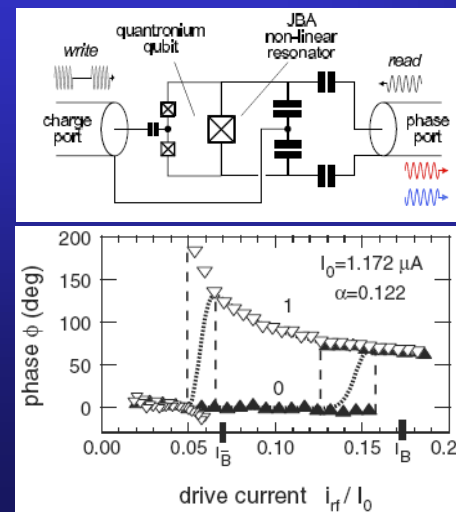
Magneto-optical traps Many body effects

- Kim *et al.* '05

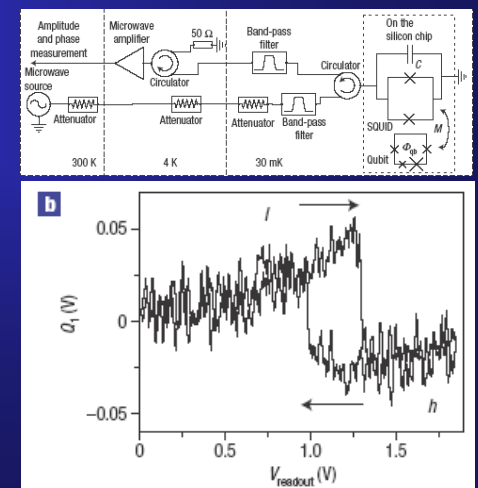


rf driven Josephson junctions > GHz, Q ~ 100

- Siddiqi *et al.* '04



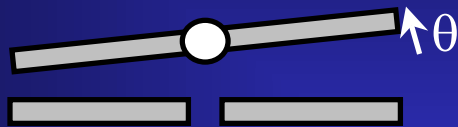
- Lupascu *et al.* '07





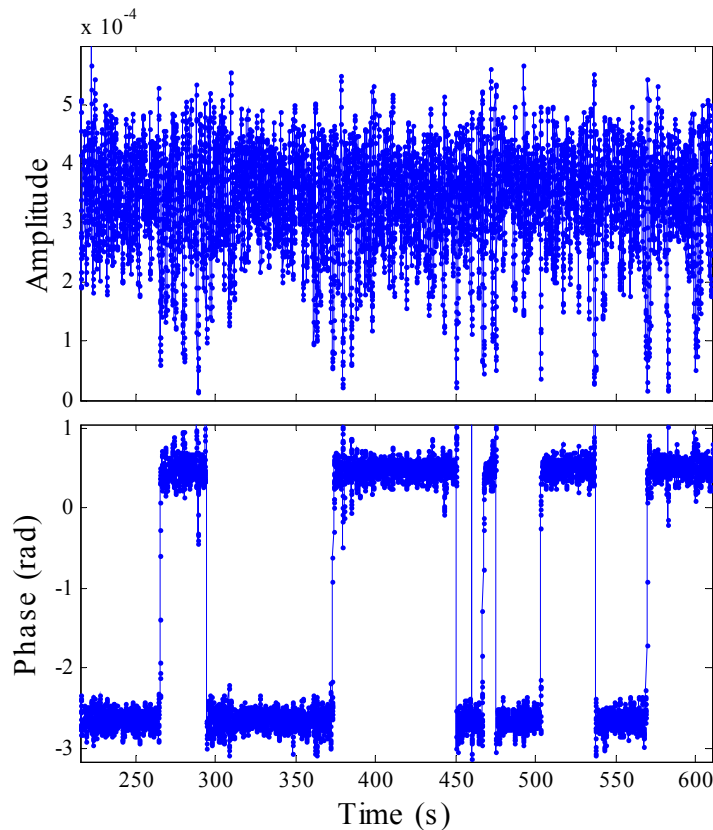
# Transformation to rotating frame: 2 dynamical variables

$$\ddot{\theta} + 2\gamma\dot{\theta} + [\omega_0^2 + \frac{k_e}{I}\cos(\omega t)]\theta = -\alpha\theta^2 - \beta\theta^3 + N(t)$$



$$\theta = aX \cos\left(\frac{\omega t}{2}\right) - aY \sin\left(\frac{\omega t}{2}\right)$$

$$\frac{d\theta}{dt} = bX \sin\left(\frac{\omega t}{2}\right) + bY \cos\left(\frac{\omega t}{2}\right)$$

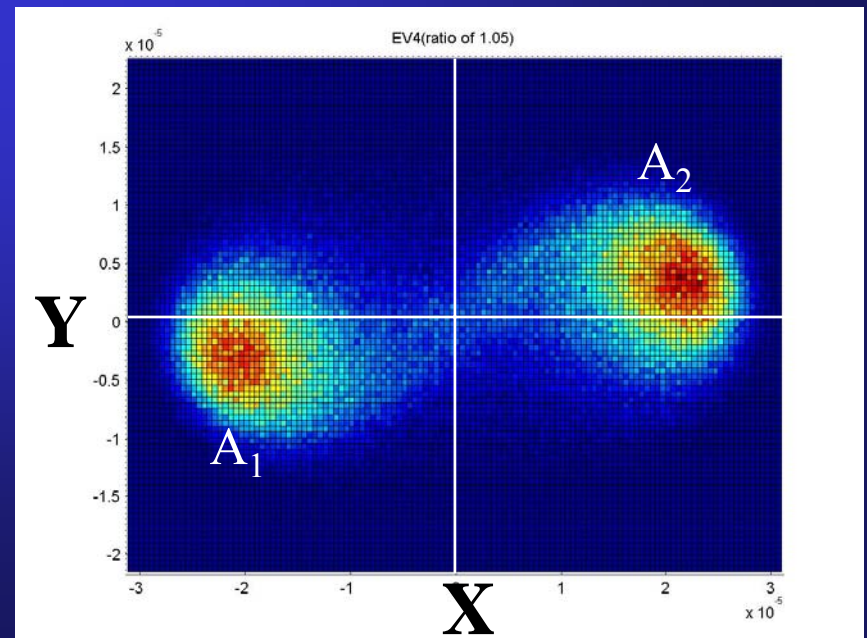


$$\dot{X} = -X + \frac{dg}{dY} + \xi_1(t)$$

$$\dot{Y} = -Y + \frac{dg}{dX} + \xi_2(t)$$

$$g(X, Y) = \frac{1}{2}(X^2 + Y^2)[\Omega + (X^2 + Y^2)] + \frac{1}{2}\zeta(Y^2 - X^2)$$

Dykman, Maloney, Smelyanskiy, Silverstein '98

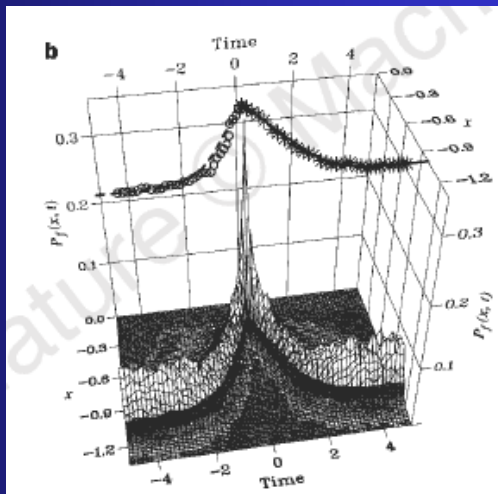


# Most probable switching paths: Theory

- Linear systems: Onsager and S. Machlup '1953
- General Markov system: Wentzel and Freidlin '1972
- Resonantly driven Duffing oscillator (no detailed balance): Dykman and Krivoglaz '1979
- Parametrically driven oscillator (no detailed balance): Dykman, Maloney, Smelyanskiy and Silverstein '1998

## Fluctuation Paths: experiment

Luchinsky and McCintock, Nature 1997

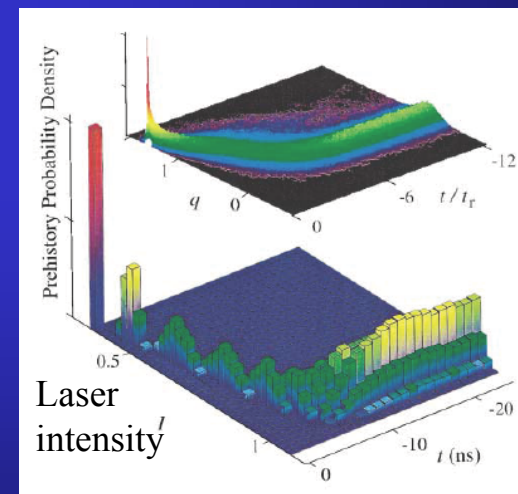


Electronic circuit simulation

Distinguishes fluctuation and relaxation motion from attractor to a specific point

Paths within the basin of an attractor

Hales, Zhukov, Roy, Dykman, PRL 2000



Dropout events in laser with feedback

1 dynamic variable: laser intensity

# Paths of fluctuation induced switching

H. B. Chan, M. I. Dykman, C. Stambaugh, PRL 100, 130602 (2008).

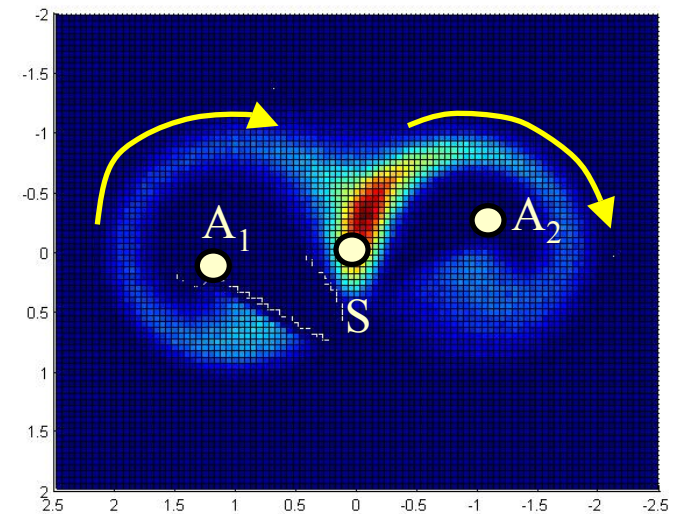
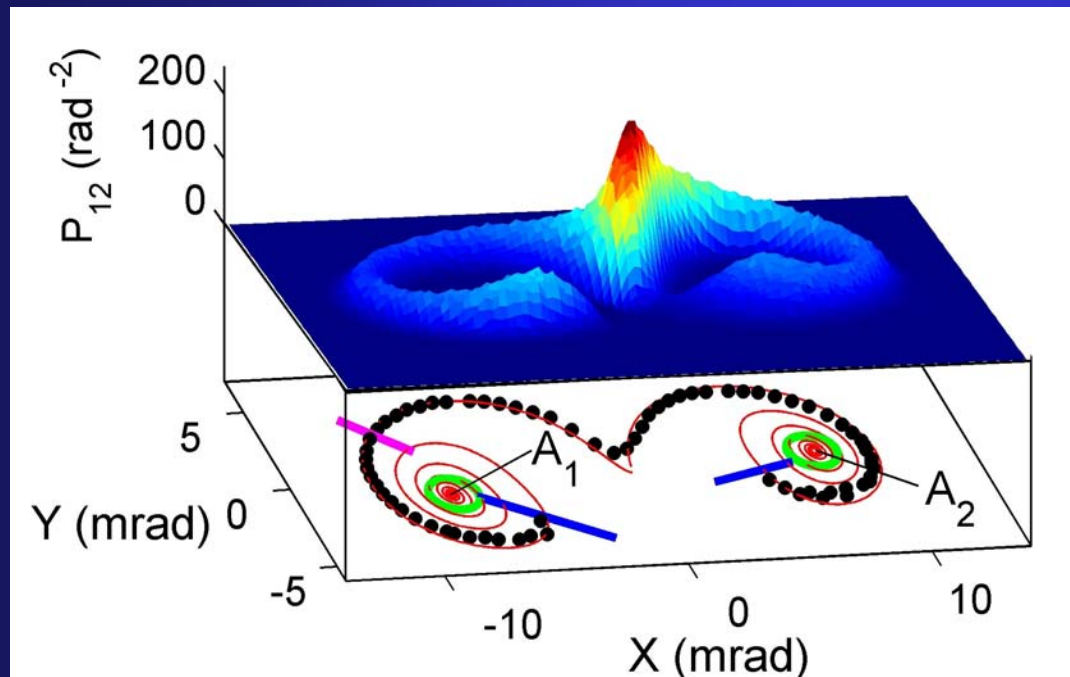
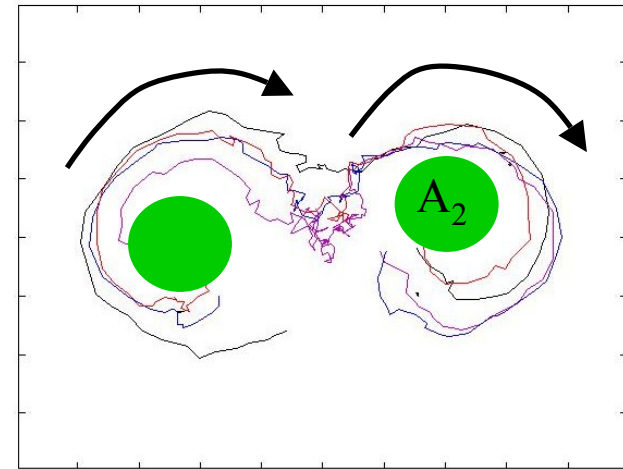
## Switching Path Distribution

$$p_{12}(\mathbf{q}, t) = \int_{\Omega_2} d\mathbf{q}_f \rho(\mathbf{q}_f, t_f; \mathbf{q}, t | \mathbf{q}_i, t_i)$$

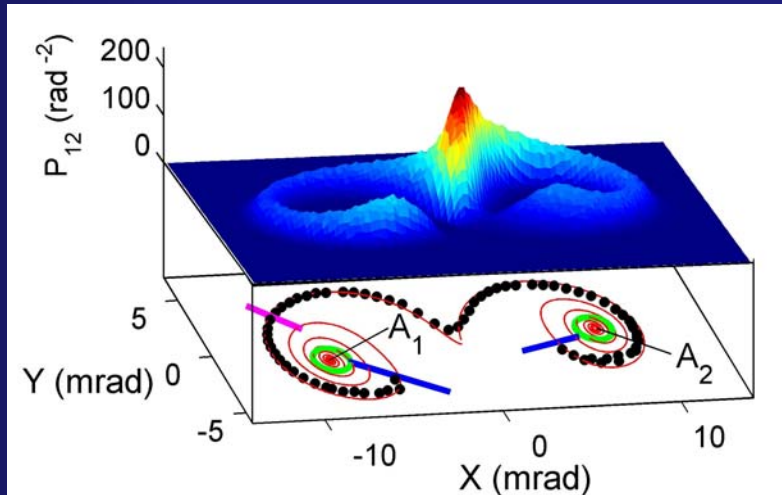
probability density at  $\mathbf{q}$ ,  $t$ ,  $\mathbf{q}_f$ ,  $t_f$ , given that it was at  $\mathbf{q}_i$  at  $t_i$ .

$\mathbf{q}_i$  within  $l_d$  of  $A_1$ ,  $\mathbf{q}_f$  within  $l_d$  of  $A_2$

System start close to  $A_1$  and later arrive close to  $A_2$

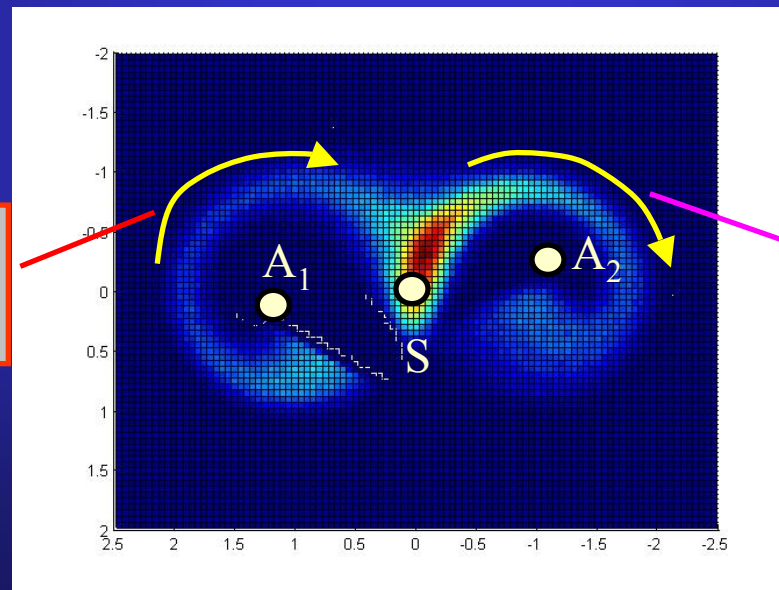


## Generic features of switching path distribution



- Switching trajectories form narrow tubes centered on Most Probable Switching Path
- Diffusion motion around saddle:  
strong peak in SPD
- Gaussian cross section
- Constant probability current

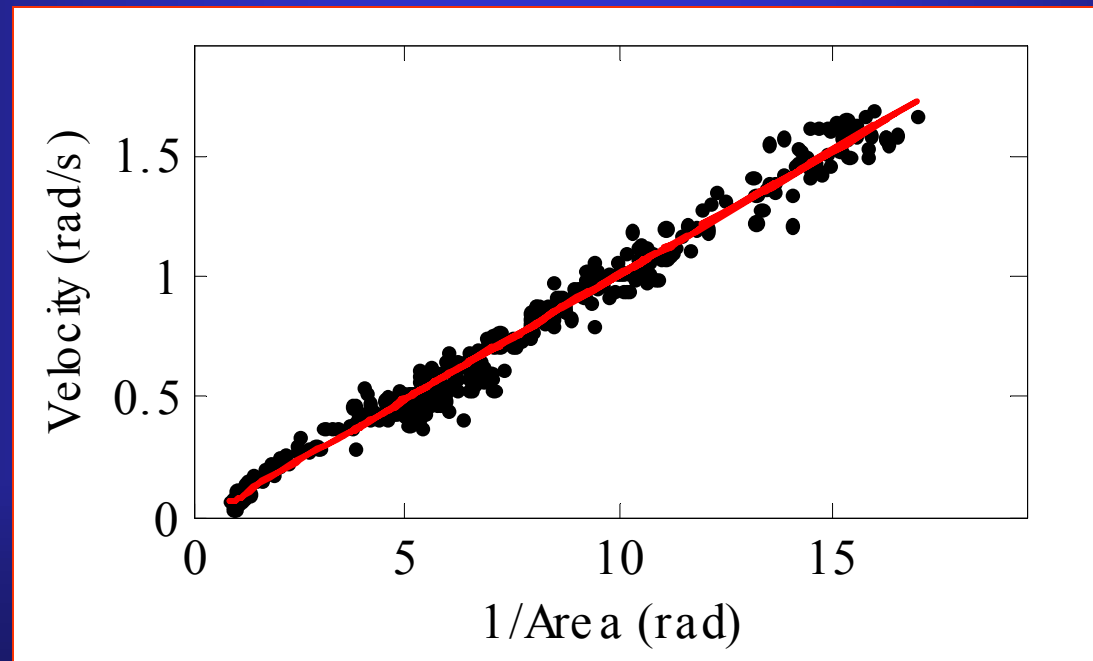
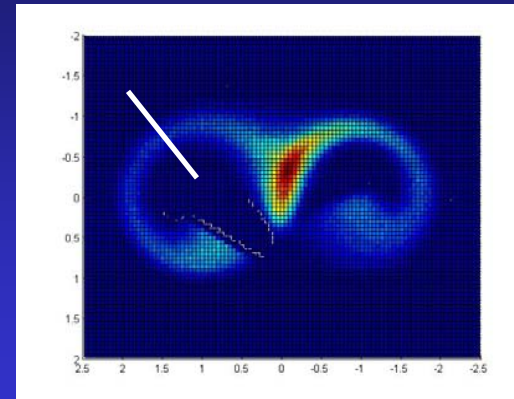
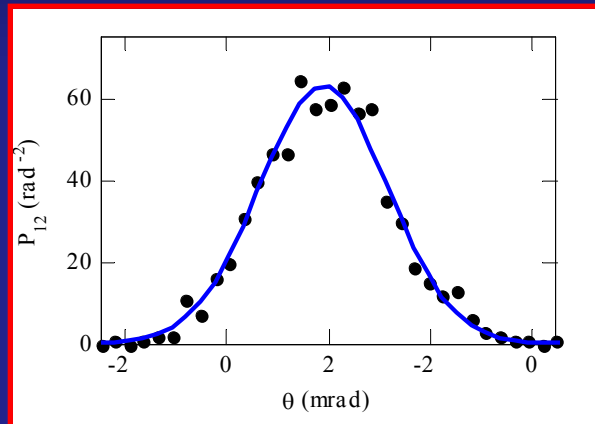
Uphill: the appropriate fluctuation brings the system from attractor  $A_1$  to saddle  $S$



downhill: deterministic, fluctuation-free path from saddle  $S$  to attractor  $A_2$

# Constant probability current along most probable switching path

## Gaussian cross section



$$A_{\text{cross section}} \times \text{velocity} = \text{switching rate}$$



# Switching Paths for systems with time reversal symmetry

## Time reversal symmetry

most probable “uphill” fluctuational path = time reversed fluctuation-free path

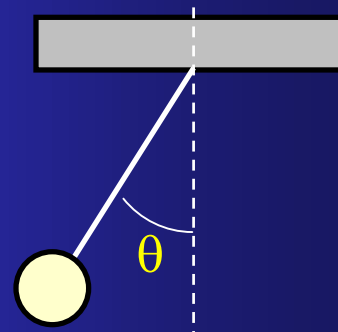
Example of time reversal:  
linear oscillator

$$\frac{d^2\theta}{dt^2} + 2\Gamma \frac{d\theta}{dt} + \omega_0^2\theta = 0$$

$$t \rightarrow -t \quad \frac{d^2\theta}{dt^2} + 2\Gamma \frac{d\theta}{-dt} + \omega_0^2\theta = 0$$

$$\rightarrow \Gamma \rightarrow -\Gamma$$

$$\theta = d\theta/dt = 0 \text{ (stable)} \rightarrow \theta = d\theta/dt = 0 \text{ (unstable)}$$



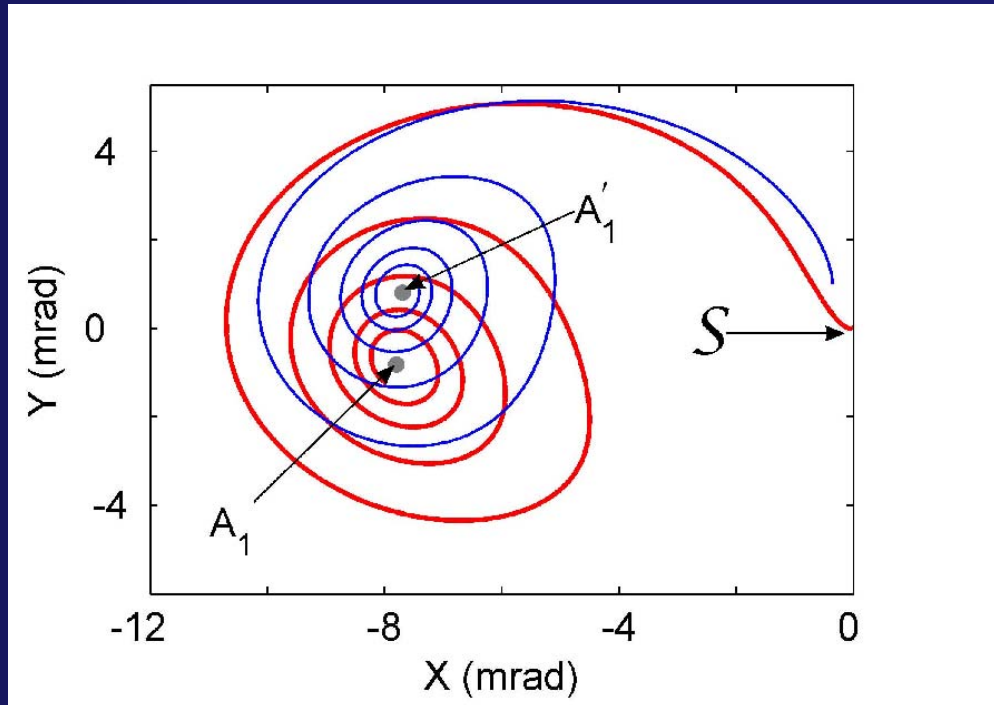
In overdamped particle in bistable potential,

“uphill” fluctuational path

time reversed fluctuation-free path

} identical

## Nonequilibrium oscillator: lack of time reversal symmetry



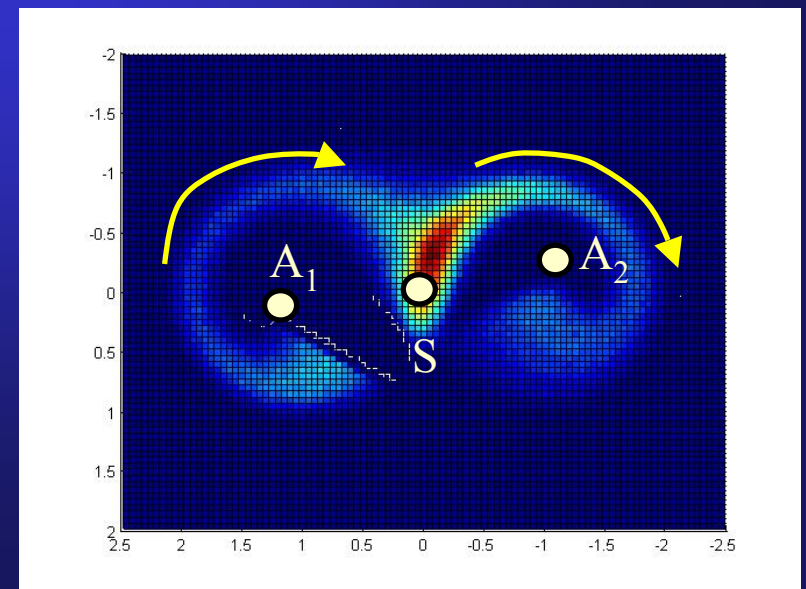
Red: “uphill” fluctuational path

Blue: time reversed fluctuational-free path

Differences from overdamped particle in bistable potential:

- “Uphill” fluctuational path  $\neq$  time reversed fluctuation-free path
- Position of attractor shifted upon time reversal

Attractor is an oscillating state,  $d\theta/dt = 0$

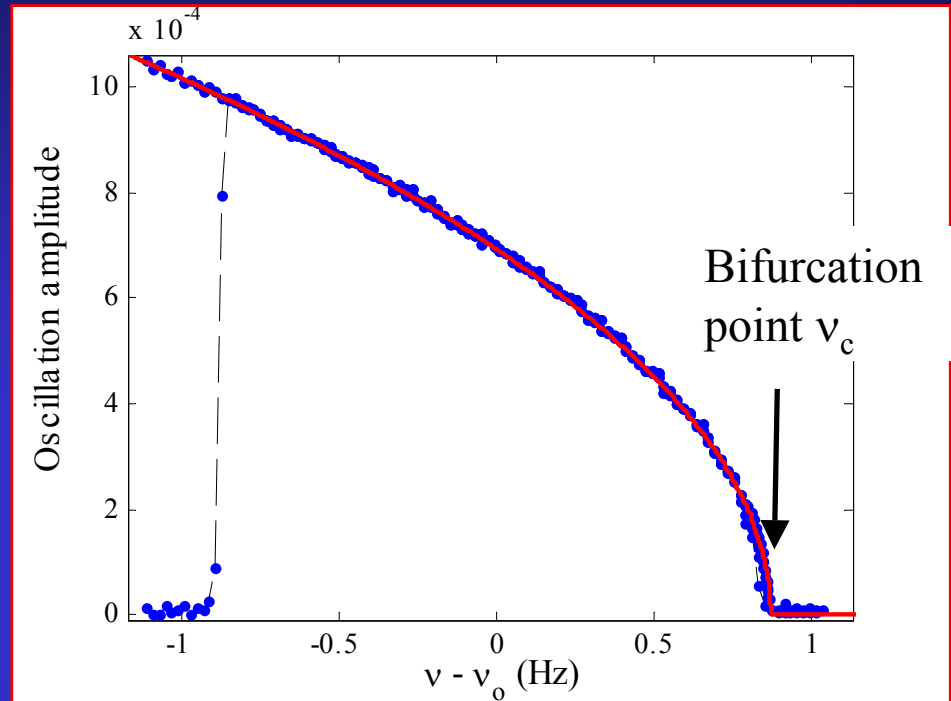
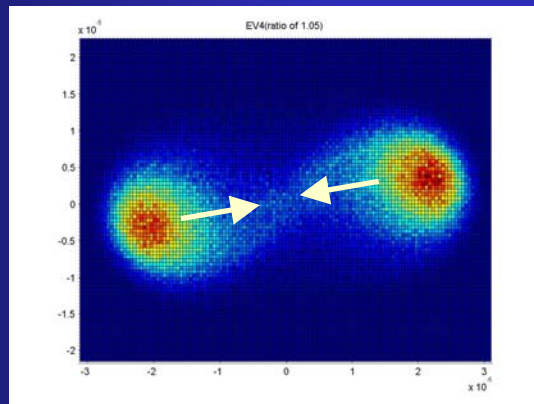


# Critical exponent in activation energy for parametric resonance

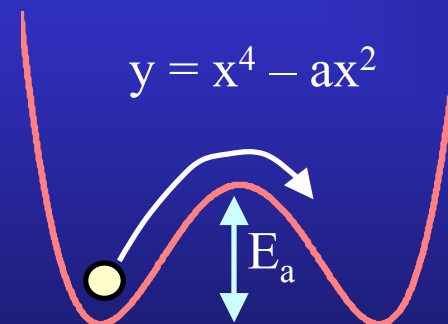
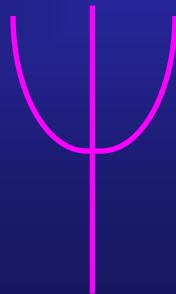
$$\Gamma \propto \exp\left(-R/I_N\right)$$

$$R \propto (\nu - \nu_c)^\alpha$$

Critical exponent  $\alpha$



Pitchfork bifurcation



Overdamped motion in 1D

$$E_a \propto a^2$$

close to  $\nu_c$ , universal scaling predicted with critical exponent  $\alpha = 2$  (Dykman *et al.* '98)



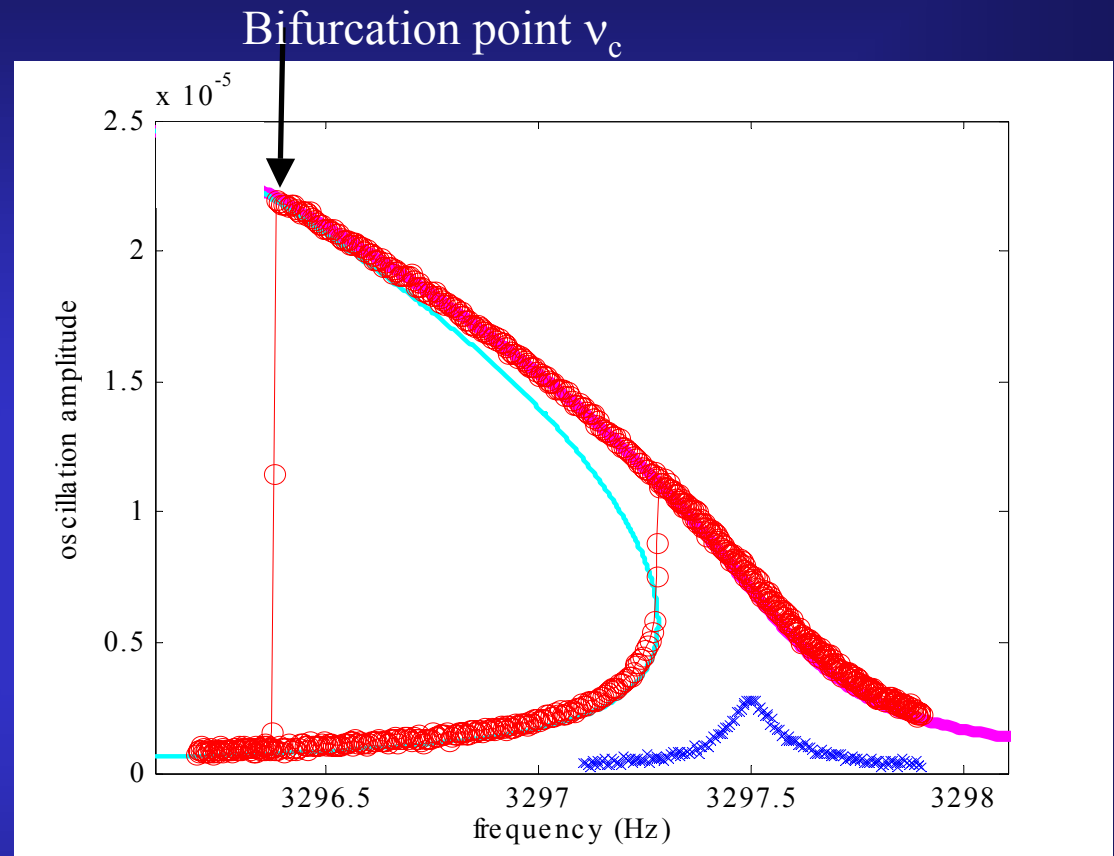
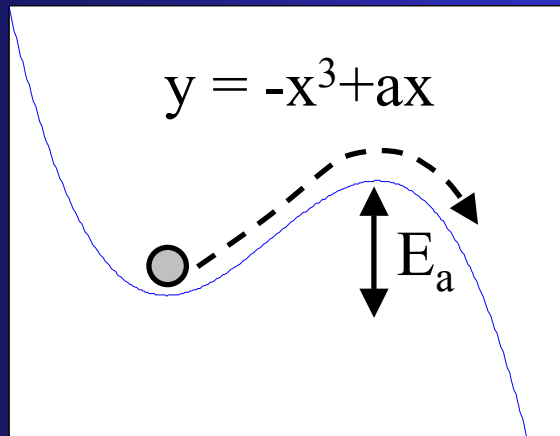
# Activation barrier scaling for saddle node bifurcation

Critical exponent  $\alpha$

$$\Gamma \propto \exp\left(\frac{-c(\nu - \nu_c)^\alpha}{I_N}\right)$$

Near bifurcation points:

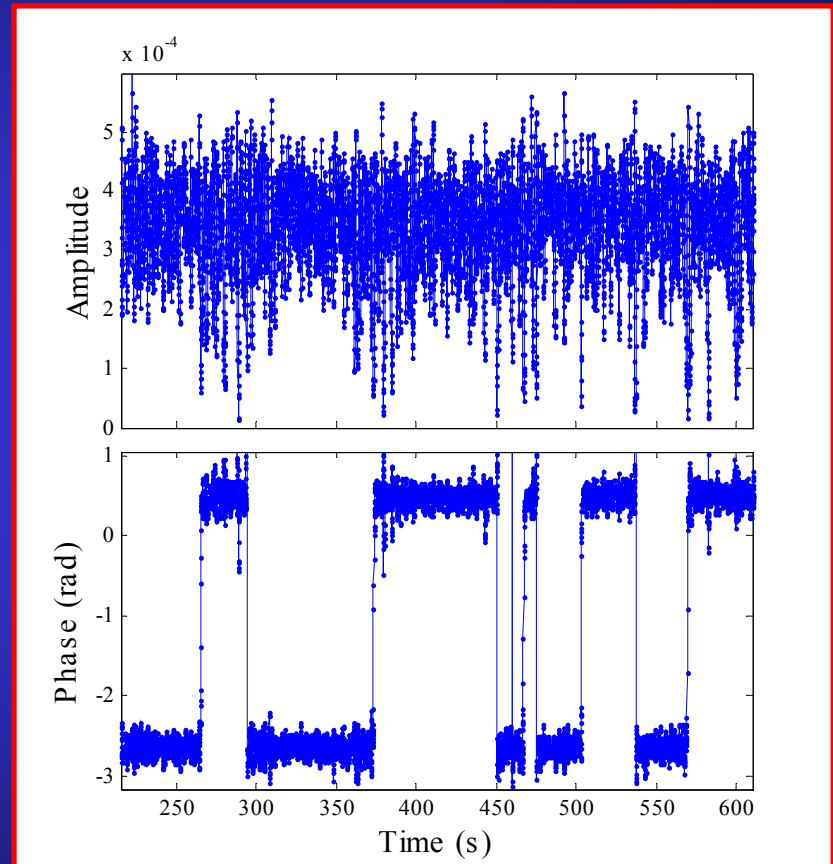
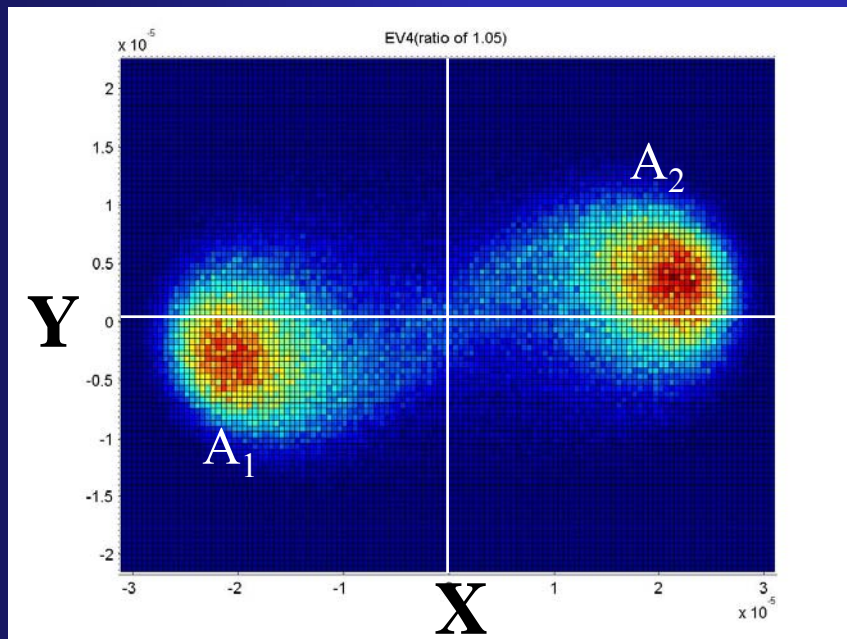
Universal scaling with critical exponent  $\alpha = 3/2$  (Dykman & Krivoglaz '79)



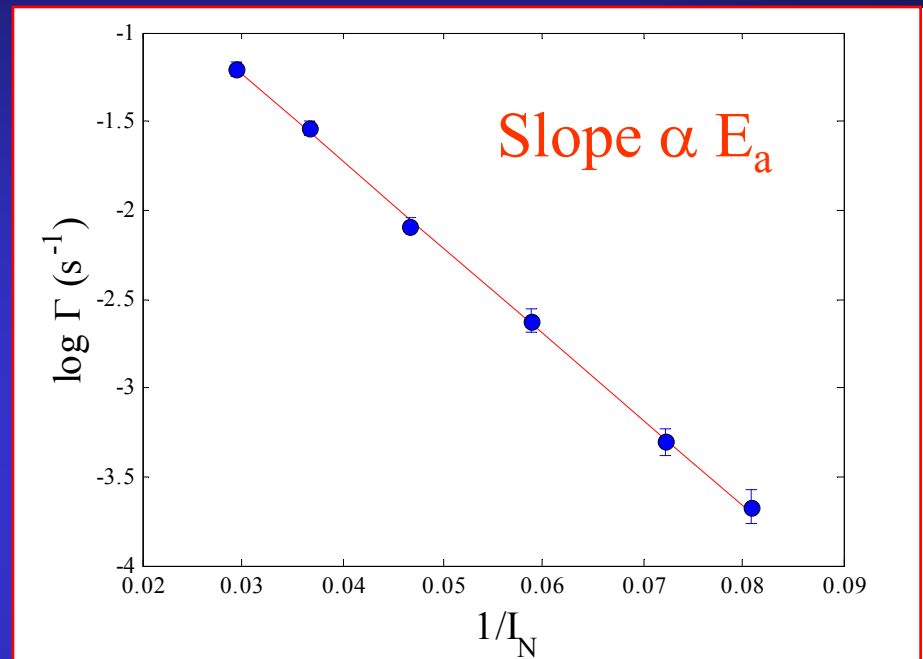
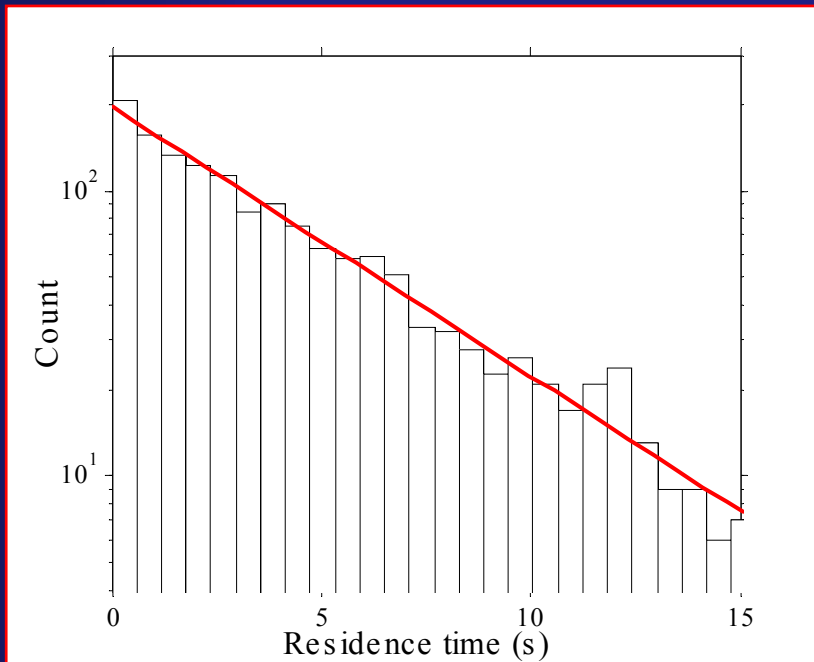
$3/2$  scaling expected to occur for all spinodal bifurcations:

- RF driven Josephson junctions
- Nanomagnets driven by polarized currents
- Double barrier resonant tunneling structures.

# Fluctuation induced switching in parametric oscillator



# Activated phase slip



Poisson's statistics:

exponentially decay histogram

➔ Randomness in switching

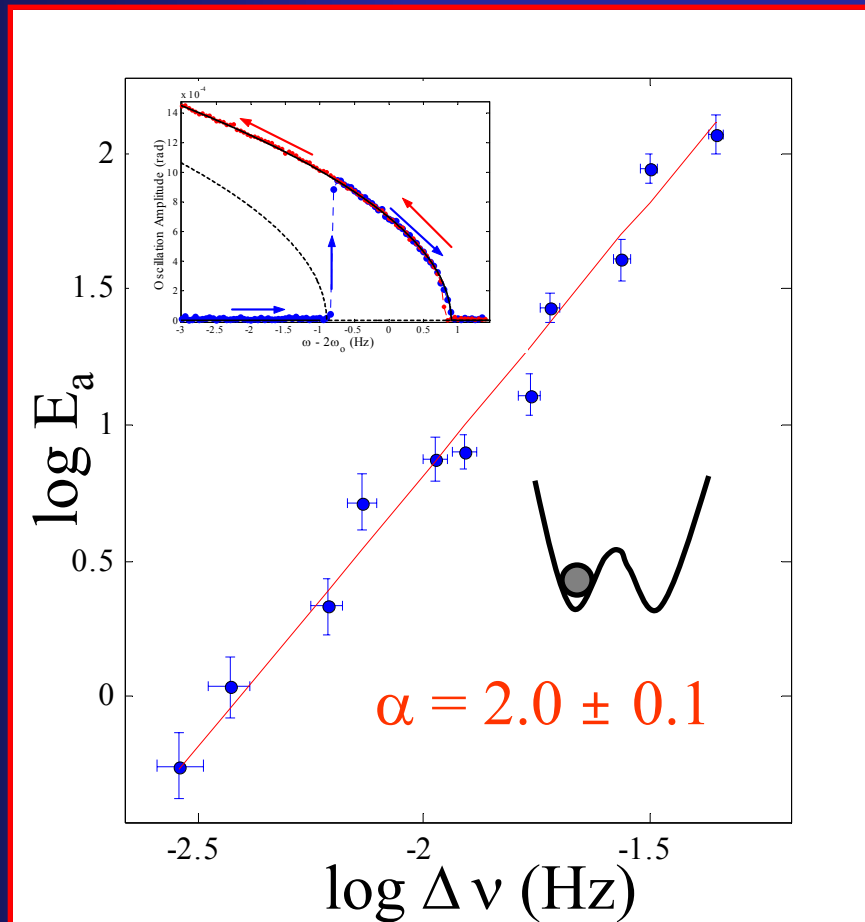
Activated behavior

$$\Gamma \propto \exp\left(-\frac{E_a}{I_N}\right)$$

$$\log(\Gamma) = -\frac{E_a}{I_N} + C$$

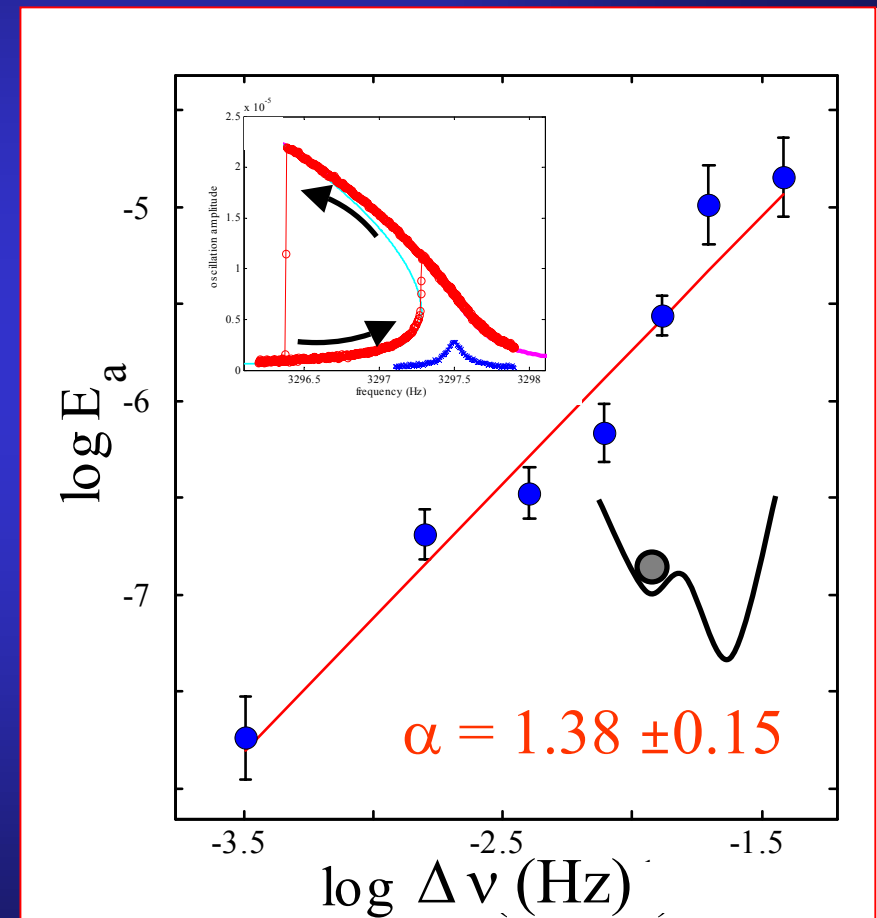
## Two different scaling relations for activated switching in micromechanical torsional oscillator

Pitchfork bifurcation:  
parametrically driven oscillator



Chan & Stambaugh, PRL 99, 060601 (2007).

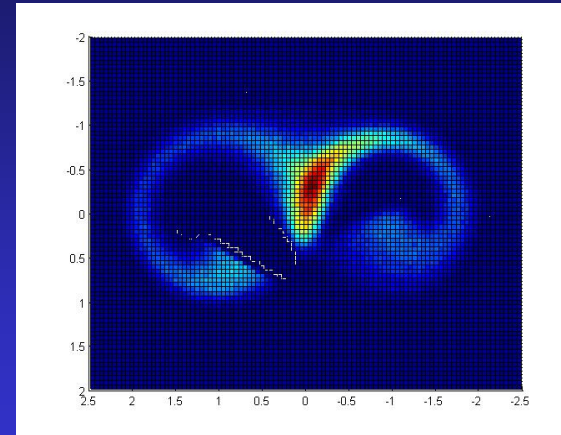
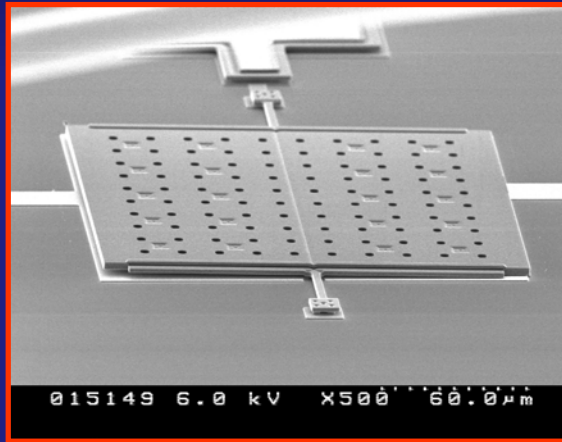
Saddle node bifurcation:  
resonantly driven Duffings oscillator



Stambaugh & Chan, PRB 73, 172302 (2006).

Consistent with universal scaling behavior (Dykman et al.)

# Summary



- activated switching between coexisting states in a micromechanical torsional oscillator driven into parametric resonance.
- scaling of the activation barrier close to bifurcation points.
- measurement of the most probable switching path in 2 dimensions

Switching Probability Distribution

constant probability current along the path.

experimental evidence for lack of time reversal symmetry

# Acknowledgement

- Corey Stambaugh



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- Mark Dykman (Michigan State University)